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# Transformation of Major Ginsenosides into Minor Ginsenosides in Ginseng by Pickling in Salted Rice Malt Paste

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#### Abstract

Increasing the content of minor ginsenosides in ginseng enhances its pharmaceutical activities. For this study, minor ginsenosides F2 and CK were produced in ginseng by pickling in a salted rice malt paste fermented using Aspergillus kawachii. The A. kawachii excluded  $\beta$ -glucosidase in the culture broth with 125 mU/mL after 16 d cultivation, and hydrolyzed major ginsenoside Rb1 to generate F2 and CK on the pathway Rb1 $\rightarrow$ Rd $\rightarrow$ F2 $\rightarrow$ CK. Steamed rice was fermented by A. kawachii with 14% NaCl to produce a salted rice malt paste as a pickle bed with 165 mU/mL of  $\beta$ -glucosidase. Fresh 1-year-old and 6-year-old ginseng, which had Rb1 and Rd but which had neither F2 nor CK, were pickled in the salted rice malt paste for 28d. The extract showed production of F2 and CK, and changed the ratio of Rb1, Rd2, F2, and CK in ginseng.

**Keywords:** β-Glucosidase, Compound K (CK), Ginsenoside, Salted Rice Malt Paste, Transformation.

#### Introduction

Ginseng, the root of Panax ginseng C.A. Meyer, is a traditional herbal medicine that has long been used in Asian countries [1]. Ginseng saponins, known as ginsenosides, are major active compounds responsible for the pharmacological effects of ginseng. The more than 50 ginsenosides which have been identified from ginseng comprise a dammarane backbone with several sugar side chains by  $\beta$ -configuration [2, 3]. Ginseng has six major ginsenosides (Rb1, Rb2, Rc, Rd, Re, Rg1), which consist of 80-90% of total ginsenosides [4]. Especially, Rb1 is present in more than 20% of all ginsenosides [5]. Reportedly, major ginsenosides are metabolized to the minor ginsenosides (Rg3, Rh2, F2, CK) by the hydrolyzing activity of intestinal bacteria [5]. Minor ginsenosides show pharmaceutical activities. Among them, particularly CK induces tumor cell apoptosis, inhibition of tumor cell proliferation, attenuation of tumor invasion and metastasis, and anti-inflammatory effects [6-10]. However, the conversion efficiency depends on individual microflora.

Minor ginsenosides have smaller sugar side chains than those of major ginsenosides [11, 12]. Therefore, hydrolysis of the sugar moieties of the major ginsenosides has been developed by microbial or enzymatic biotransformation. Reportedly, minor ginsenosides are produced from purified major ginsenosides or crude extract of ginseng by purified or crude enzyme from microorganisms or cultured microorganisms [12-24]. However, the biotransformation efficiency depends on the substrate specificity of the enzyme. In fact, most microorganisms used for transformation are not of food production grade. Preparation of the extract of ginseng and enzyme solution costs time and money.

This study revealed that *Aspergillus kawachii*, which is used as koji mold for food fermentation in Japan excretes  $\beta$ -glucosidase to the culture medium well with glucose as the carbon source, thereby hydrolyzing Rb1 to produce F2 and CK through the hydrolyzing pathway of Rb1 $\rightarrow$ Rd $\rightarrow$ F2 $\rightarrow$ CK. Ginseng pickled in a salted rice malt paste and fermented by *A. kawachii* for 28 d contains F2 and CK and changed the ratio of Rb1, Rd, F2, and CK [25].

#### Materials and Methods Materials

One-year-old and 6-year-old ginseng, *Panax ginseng* C.A. Meyer, were obtained from Yuushien Agrifarm Co., Ltd., Shimane, Japan. Ginsenoside Rb1, Rd, and F2 were purchased from LKT Laboratories, Inc. (USA). Also, CK was obtained from MedChem Express LLC (USA). All other chemicals were of analytical and HPLC reagent grade.

#### **Preparation of Culture Broth and Salted Rice Malt Paste**

Strains of Aspergillus oryzae Cohn var. oryzae (NBRC 30113), A. sojae Sakaguchi & Yamada (NBRC 4239), A. kawachii Kitahara & Yoshida (NBRC 4308), and A. luchuensis Inui (NBRC 4314) were obtained from the NITE Biological Resource Center (Tsukuba, Japan). After the strains were inoculated in media consisting of 0.2% NaNO<sub>3</sub>, 0.2% KCl, 0.1% KH<sub>2</sub>PO<sub>4</sub>, 0.1% NH<sub>4</sub>NO<sub>3</sub>, 0.1% NH<sub>4</sub>H<sub>2</sub>PO<sub>4</sub>, 0.05% MgSO<sub>4</sub>, 0.05% yeast extract, and 0.5% glucose (pH 6.0), they were incubated at 26°C with shaking at 120 rpm. The culture solution was filtered through a paper filter. The filtrate was used as a culture broth.

After *A. kawachii* was inoculated into 100 g steamed rice, it was incubated at 28°C for 7 d. The rice with mold was mixed with 1.4 kg steamed rice at 60°C, spread thinly in a Tupperware<sup>TM</sup> vessel, covered by food wrap, and incubated at 28°C. After 3 d, the rice malt was mixed with 200 g NaCl. After it had been kept in a plastic barrel for 14 d at 23°C in the dark, it was used as a salted rice malt paste.

# **Preparation of Pickled Ginseng**

Pickled ginseng was prepared by soaking 30 g of 1-year-old and 6-year-old ginseng in the salted rice malt paste. The paste was mixed briefly every 2 d and left in the dark at 23°C for 28 d.

### **Preparation of Ginseng Extract**

After 30 g of fresh 1-year-old and 6-year-old ginseng were minced and homogenized in 150 mL of 80% (v/w) ethanol with a Waring blender, the solution was incubated at 80°C. After 14 h, the solution was filtered and evaporated to remove ethanol. The volume of the remaining solution was adjusted to 30 mL with distilled water. It was used as a ginseng extract. The pickled ginseng was washed with water to remove the malt paste. The extract was prepared as described above.

# **Transformation of Ginsenosides by Culture Broth**

The mixture (50  $\mu$ L) containing 5  $\mu$ L of Rb1 solution (50  $\mu$ g) and 45  $\mu$ L of culture broth (6 mU  $\beta$ -glucosidase) or the mixture (100  $\mu$ L) containing 50  $\mu$ l of ginseng extract and 350  $\mu$ l of culture broth (44 mU  $\beta$ -glucosidase) was incubated at 37°C for 1, 48, or 96 h. The reaction mixture was extracted with an equal volume of *n*-butanol. Then the *n*-butanol fraction was analyzed using HPLC.

#### **Enzyme Assay**

The  $\beta$ -glucosidase activities of the culture solution and salted rice malt paste were assayed using a colorimetric method using

*p*-nitrophenyl- $\beta$ -D-glucopyranoside (PNPG) as a substrate [26]. The reaction mixture (1 mL), which consists of 100 mM acetate buffer (pH 5.0), 5 mM PNPG, and culture broth, was incubated at 37°C for 10 min. The reaction was terminated by the addition of 2 ml of 1M sodium bicarbonate. The absorption at 400 nm was measured using a UV spectrophotometer. One unit of  $\beta$ -glucosidase activity was defined as the amount of enzyme liberating 1 µmol *p*-nitrophenol per min.

# **HPLC Analysis of Ginsenosides**

The ginsenosides were identified using an HPLC analysis system with a column ( $4.6 \times 250$  mm, Cosmosil C<sub>18</sub>; Nacalai Tesque Inc., Kyoto, Japan) heated at 40°C. The mobile phase consisted of solvent A (water) and solvent B (acetonitrile) with a flow rate of 1.0 mL/min. The initial mobile phase composition of 20% solvent B (0–15 min) changed linearly to 70% (15–30 min). It was then followed by 100% (30–40 min). The effluent was monitored using a UV detector at 203 nm.

# **Results**

# $\beta\mbox{-}Glucosidase$ Activities in Culture Broth and Salted Rice Malt Paste

Aspergillus species of four kinds were cultivated in the medium with glucose as a carbon source. The  $\beta$ -glucosidase activities in the culture broths of *A. oryzae*, *A. sojae*, *A. kawachii*, and *A. luchuensis* were, respectively, 0.50, 0.61, 48, and 6.2 mU/mL after 8 d cultivation, 3.40, 1.90, 97, and 34 mU/mL after 12 d cultivation, and 1.50, 1.10, 125, and 43 mU/mL after 16 d cultivation. The juice of the salted rice malt paste fermented for 14 d was centrifuged at 15,000 × g for 5 min. The supernatant showed 165 mU/mL  $\beta$ -glucosidase activity.

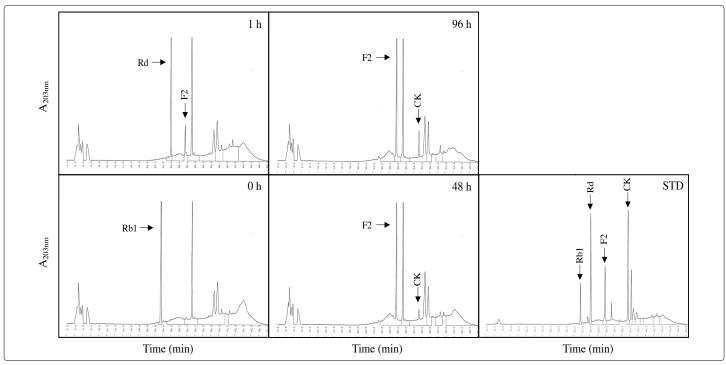


Figure 1: HPLC analysis of the transformation of Rb1 by culture broth of A. kawachii

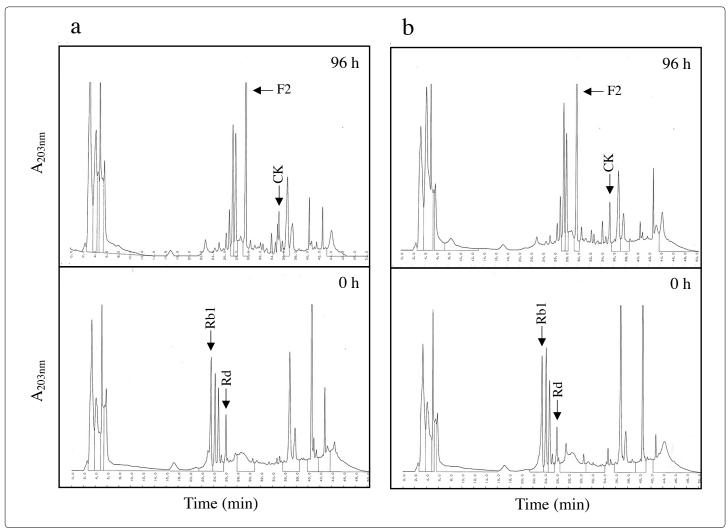
The reaction mixture of Rb1 and culture broth of *A. kawachii* was incubated at 37°C for 1, 48, and 96 h. The reaction mixture was extracted with *n*-butanol; 20 µl of n-butanol fraction was injected. STD, standard mixture containing Rb1, Rd, F2, and CK.

# **Transformation of Rb1 by Culture Broth**

The transformation of Rb1 by the culture broth of *A. kawachii* was analyzed using HPLC (**Figure 1**). The peak of Rb1 disappeared. Also, Rd and F2 were generated after 1 h, of which the level of Rd was higher than that of F2. After 48 h, the peak of Rd disappeared, the level of F2 increased, and the peak of CK appeared. The level of CK was increased after 96 h. The peak ratios of Rb1, Rd, F2, and CK after 96 h incubation were 0:0:83:17.

### **Transformation of Ginseng Extract by Culture Broth**

Results of HPLC analysis revealed that the extracts 1-year-old and 6-year-old ginseng had Rb1 and Rd, but not F2 and CK. After 96 h incubation of the ginseng extracts with the culture broth of *A. kawachii*, Rb1 and Rd disappeared. Then F2 and CK were generated in both mixtures (**Figure 2**). The peak ratios of Rb1, Rd, F2, and CK before and after incubation of 1-year-old ginseng extract were 68:32:0:0 and 0:0:87:13, respectively. Those of 6-year-old ginseng extract were 72:28:0:0 and 0:0:83:17, respectively.





Extracts of 1-year-old (a) and 6-year-old (b) ginsengs incubated with the culture broth of *A. kawachii* were incubated at  $37^{\circ}$ C for 96 h. The reaction mixture was extracted with *n*-butanol; 20 µl of n-butanol fraction was injected.

#### Salt Stability of β-Glucosidase

The salt stability of  $\beta$ -glucosidase in the culture broth of *A*. *kawachii* was evaluated by incubation with 20% (w/v) NaCl for 60 d at 25°C. The enzyme activities were 117 and 117 mU/mL before and after 60 d incubation, respectively, showing that the enzyme is completely stable after 60 d at 20% NaCl.

#### **Transformation of Ginseng by Salted Rice Malt Paste**

The extracts of 1-year-old and 6-year-old ginsengs pickled in the salted rice malt paste for 28 d contained F2 and CK in addition to Rb1 and Rd by HPLC analysis (**Figure 3**). The ratios of Rb1, Rd, F2, and CK in the extracts of 1-year-old and 6-year-old ginseng roots were, respectively, 40:39:7:14 and 35:45:8:12. The respective amounts of F2 and CK in the 1-year-old ginseng pickled in the salted rice malt paste were 5.0 and 6.0  $\mu$ g/g; those of pickled 6-year-old ginseng were, respectively, 3.0 and 2.0  $\mu$ g/g.

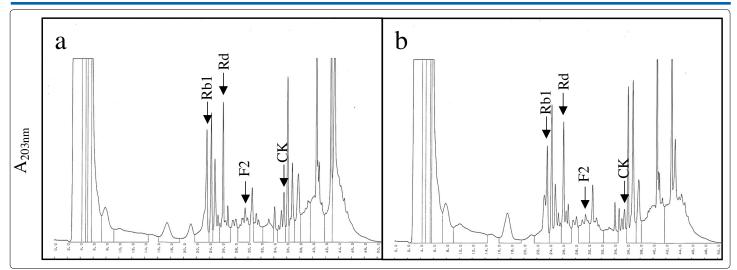


Figure 3: HPLC analysis of extracts of ginsengs pickled in the salted rice mold paste

Ginsenosides of the extracts prepared from 1-year-old and 6-year-old ginsengs pickled in the salted rice mold paste for 28 d were extracted with *n*-butanol; 20  $\mu$ l of *n*-butanol fraction was injected: (a) 1-year-old ginseng extract and (b) 6-year-old ginseng extract.

# Discussion

Production of minor ginsenosides from major ginsenosides has been approached through the use of microbial and enzymatic reactions. Culture medium of *A. niger*, culture medium of *A. oryzae*, crude enzyme solution from recombinant *Lactococcus lactis*, purified  $\beta$ -glucosidase from *Aspergillus* sp, and purified  $\beta$ -glucosidase from *A. niger* transformed major ginsenosides to minor ginsenosides [21, 22, 27]. These methods require commercial or self-purified major ginsenosides as a substrate, or culture medium or purified enzyme solution. Therefore, they are limited by costs and the working process used for implementation. In addition, a risk exists of mycotoxin contamination because some microorganisms were not edible food microorganisms.

To enhance the contents of minor ginsenosides in ginseng at low labor and cost, we specifically examined Japanese traditional fermentation using "koji mold" and "nukazuke" for transforming ginsenosides in ginseng. Koji mold is used to produce various fermented food products such as sake, soy sauce, and miso. Koji mold produces enzymes such as glycosidases and proteases, which hydrolyze macromolecules such as starches and proteins. Nukazuke is a pickled vegetable in a fermented rice bran paste prepared by mixing rice bran and salt and by subsequent storage in a dark. The pickled vegetables are fermented by lactic acid bacterium and yeast grown in the past. The major ginsenosides are producible by hydrolysis of sugar moieties from the major ginsenoside using  $\beta$ -glucosidase. Therefore, koji mold is useful as an enzyme source. The nukazuke paste increases the permeability of the pickled vegetables and prevents the growth of toxic microbes. The combinations of the properties inherent in these two methods can change the contents of ginsenosides in ginseng because  $\beta$ -glucosidase excreted from koji mold permeates the ginseng and transforms major ginsenosides into minor ginsenosides while the ginseng is pickled in a rice malt paste fermented by koji mold.

To find the koji mold that excretes  $\beta$ -glucosidase, four typical microorganisms of koji mold, *A. oryzae*, *A. sojae*, *A. kawachii*, and *A. luchuensis*, which have been used respectively to produce sake,

soy sauce, shochu liquor, and awamori liquor, were cultivated in the medium with glucose as a carbon source because steamed rice was used to produce a fermented paste. The *A. kawachii* culture broth showed the highest  $\beta$ -glucosidase activity after 16 d, followed by that of *A. luchuensis*, of which the activity was about one-third that of *A. luchuensis*. The culture broths of *A. oryzae* and *A. sojae* showed maximum activities after 8 d and decreased thereafter. The broth concentrations were, respectively, about 1/37 and 1/66 of the concentration of *A. kawachii*. These results indicate that *A. kawachii* produces extracellular  $\beta$ -glucosidase well in the medium and that it was useful to ferment the steamed rice.

The most abundant ginsenoside in the ginseng is Rb1, which usually accounts for more than 20% of total ginsenosides [5]. Transformation of Rb1 by β-glucosidase from microorganisms has been reported to occur by the hydrolytic pathways  $Rb1 \rightarrow Rd \rightarrow F2 \rightarrow CK$ ,  $Rb1 \rightarrow Rd \rightarrow Rg3$ ,  $Rb1 \rightarrow Rd$ , and  $Rb1 \rightarrow$ gypenoside XVII $\rightarrow$ F2 [1, 12-16, 20-23]. The reaction of culture broth of A. kawachii demonstrated that Rb1 disappeared, that Rd and F2 had formed after 1 h, that Rd disappeared, that the level of F2 increased, and that CK had formed by 48 h; moreover, the level of CK had increased after 96 h (Figure 1). The change of ginsenoside contents that is variable in accompaniment to the passage of time suggests the hydrolytic pathway of Rb1 $\rightarrow$ Rd $\rightarrow$ F2 $\rightarrow$ CK. To evaluate the inhibition of  $\beta$ -glucosidase activity by ginseng contents, the culture broths of A. kawachii were incubated with extracts of 1-year-old and 6-year-old ginseng. The Rb1 and Rd in both extracts disappeared; also, F2 and CK were formed after 96 h, indicating that the enzyme can generate F2 and CK (Figure 2). These results suggest that the culture broth of A. kawachii can work to produce F2 and CK from Rb1 in ginseng.

High concentrations of salt in the paste are necessary to increase the permeability of the pickled vegetables and to prevent toxic microbe growth. After confirming that  $\beta$ -glucosidase in the culture broth of *A. kawachii* was completely stable after 60 d with 20% NaCl, steamed rice was fermented by *A. kawachii* with 14% NaCl to produce a salted rice malt paste as a pickle bed. The juice of the paste fermented for 14 d showed 165 mU/mL β-glucosidase activity. Fresh 1-year-old and 6-year-old ginsengs softened when pickled in the paste after 28 d. Whereas the extracts prepared from fresh ginseng showed peaks of Rb1 and Rd but not those of F2 and CK, the peaks of F2 and CK appeared and those of Rb1 and Rd decreased in the extract of the pickled ginseng (Figure 3). These results suggest that the excluded β-glucosidase from A. kawachii permeated into the ginseng and transformed Rb1 and Rd into F2 and CK. The contents of F2 and CK in the pickled 1-year-old ginseng were higher than that of the pickled 6-year-old ginseng because the thinner shape and softer peel of 1-year-old ginseng than 6-year-old ginseng probably caused good permeation of β-glucosidase. Taken together, these findings demonstrate that pickling fresh ginseng in the salted rice malt paste is a simple and useful means of producing minor ginsenosides F2 and CK and changing the relative contents of Rb1, Rd, F2, CK in ginseng. Recently CK biosynthesis was achieved by introducing genes encoding enzymes that consist of CK biosynthetic pathway into Saccharomyces cerevisiae, Yarrowia lipolytica, and Nicotiana tabacum [28-31]. These methods are valuable to provide CK for the clinical application. Our pickled ginseng contains F2 and CK in addition to major ginsenosides, which show multifaceted pharmacological activities, while those amounts are small, therefore, it can be used effectively as a resource for dietary supplements, healthy foods, and functional foods [32].

Traditionally, fresh 4-, 5-, and 6-year-old ginseng are used to prepare processed products of ginseng, white ginseng, and red ginseng. White ginseng was produced by drying fresh roots under sunlight. Red ginseng is produced by steaming fresh roots and drying [33]. These processed ginsengs enhance the contents of minor ginsengs and increase their respective pharmaceutical activities [34]. Well-grown and healthy 1-year-old ginseng plants are cultivated to harvest 4-, 5-, and 6-year-old ginseng. After thinning, the remainder of 1-year-old ginseng is discarded or used as a material for eating. Our method of enhancing the minor ginsenosides in ginseng is pickling of ginseng in the salted rice malt paste. Especially, the CK production is expected to elicit biological activities just as it does for white and red ginsengs.

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