

Retrocausal Temporal Feedback and Gauge Symmetry Breaking at the Black Hole Event Horizon: A Theoretical Framework and Machine Learning Architecture

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Abstract

The black hole information paradox remains one of the most profound open problems at the intersection of quantum mechanics and general relativity. This paper presents a novel theoretical framework positing that information crossing a black hole event horizon (EH) undergoes a gauge symmetry reduction-transitioning from a non-abelian $SU(3)$ structure outside the horizon to an abelian $U(1)$ structure within, in analogy with quantum chromodynamic (QCD) confinement and electroweak symmetry breaking [1-5]. Building on the Page curve, Hawking radiation theory, and retrocausal quantum mechanics, we propose a Spacetime Information Feedback Loop (SIFL) model in which a "Linker" mechanism-driven by quantum interference at the horizon-enables retrocausal correction of past states without causal paradox [6-10]. We further introduce the Retrocausal Transformer, a machine learning architecture operationalizing these principles through hyperbolic Poincaré disk embedding, temporal scattering matrices, backward attention, and branching future optimization with unitarity-preserving loss functions [11,12]. The framework offers a conceptually coherent approach to reconciling unitarity with information conservation, while acknowledging significant open theoretical challenges.

Keywords: Black Hole Information Paradox, Retrocausality, Gauge Symmetry Breaking, $SU(3) \rightarrow U(1)$ Transition, Event Horizon, Page Curve, Hawking Radiation, Temporal Feedback Loop, Hyperbolic Embedding, Quantum Chromodynamics, Unitarity, Many-Worlds Interpretation, Transformer Architecture, Information Theory, Quantum Gravity

1. Introduction

The black hole information paradox, first articulated by Hawking in 1976, challenges the foundations of modern physics: does information falling into a black hole survive, encoded in Hawking radiation, or is it irretrievably destroyed? [1]. The conflict between quantum unitarity-which forbids information loss-and semiclassical gravity has resisted resolution for nearly five decades [2,3].

Gauge theories provide a powerful framework for understanding symmetry transitions. Electroweak unification demonstrated that symmetry breaking can reduce complex non-abelian structures to simpler abelian representations [4]. Quantum chromodynamics (QCD) reveals that colored quarks, governed by $SU(3)$, are permanently confined within color-neutral hadrons—a confinement

boundary across which non-abelian degrees of freedom become inaccessible [5,13].

This paper proposes that the event horizon functions as an analogous symmetry-reducing boundary: information outside carries effective $SU(3)$ non-abelian structure, inside, only $U(1)$ abelian projections are accessible. We further introduce the SIFL retrocausal feedback model in which a Linker mechanism enables temporally bidirectional information flow within globally consistent solutions [8,9]. The Retrocausal Transformer (RT) machine learning architecture operationalizes these physical principles [11,12].

The paper is structured as follows. Section 2 provides theoretical background. Section 3 presents the SIFL model. Section 4 develops

the gauge symmetry reduction hypothesis. Section 5 describes the RT architecture. Section 6 analyzes hyperparameters. Section 7

discusses implications and limitations. Section 8 concludes.

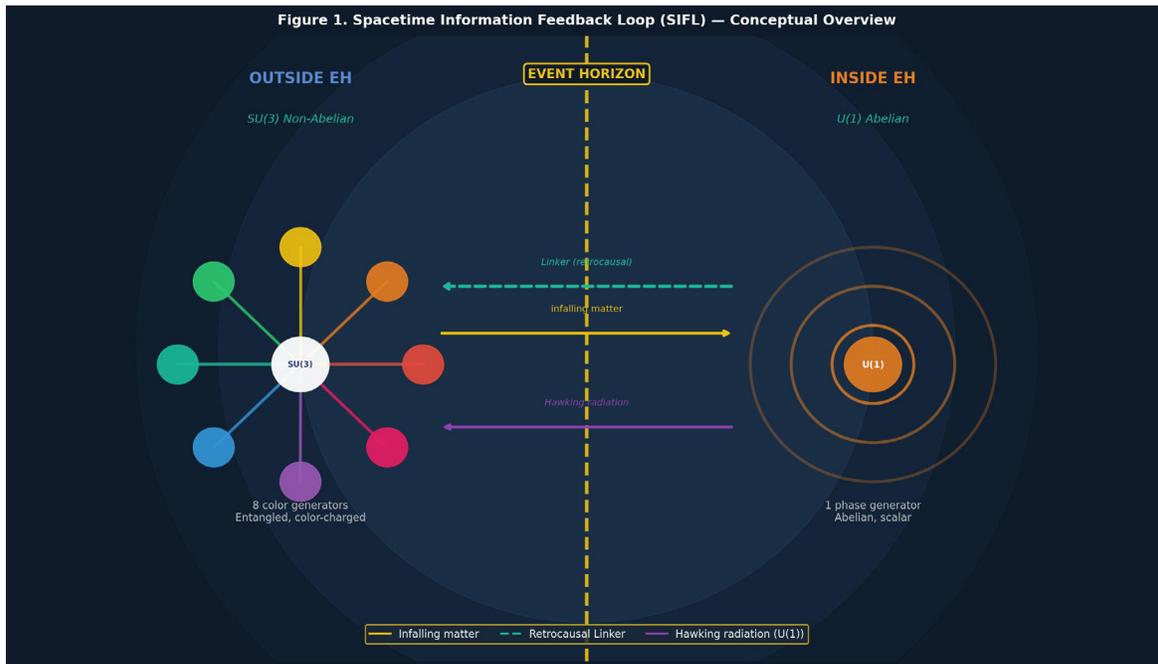


Figure 1: Spacetime Information Feedback Loop (SIFL)-Conceptual Overview. Outside the event horizon, information carries $SU(3)$ non-abelian structure (8 color-charged generators, left). At the horizon boundary (dashed gold line), symmetry reduction to $U(1)$ occurs (single phase generator, right). The Linker retrocausal pathway (dashed cyan arrow) carries correction signals backward in time, while Hawking radiation (purple) exits the $U(1)$ abelian sector

2. Theoretical Background

2.1. The Black Hole Information Paradox and the Page Curve

Hawking's 1976 semiclassical calculation predicted that black holes emit perfectly thermal radiation—a result implying complete information loss and contradicting quantum unitarity [1]. Page (1993) showed that if unitarity is preserved, entanglement entropy of Hawking radiation must follow a specific trajectory—rising initially then decreasing after the "Page time" at which roughly half the black hole has evaporated [2]. Recent derivations using replica wormholes and quantum extremal surfaces have recovered Page-curve-consistent entropy within semiclassical gravity, suggesting unitarity may be saved by non-perturbative geometric effects [14].

2.2. Gauge Symmetry, Symmetry Breaking, and Confinement

The Standard Model's electroweak sector exemplifies gauge symmetry breaking: the Higgs mechanism reduces $SU(2) \times U(1)$ to $U(1)_{EM}$ below the electroweak scale [4]. QCD's $SU(3)$ color symmetry enforces confinement: free color charges are never observed, only color-singlet hadrons appear externally [5]. Wilson's lattice QCD formulation provides a rigorous theoretical basis for confinement as a gauge-theoretic phase boundary [13].

2.3. Retrocausality in Quantum Mechanics

Retrocausal frameworks in quantum mechanics—including Aharonov's two-state vector formalism and Cramer's transactional

interpretation—permit information to flow in both temporal directions within globally self-consistent solutions, without implying causality violation [8]. Price (1996) provided a philosophical foundation for temporal symmetry in physics [9]. Maldacena and Susskind's ER=EPR conjecture links entanglement to wormhole connectivity, suggesting retrocausal signaling through entanglement may be geometrically realized [15].

2.4. Hyperbolic Geometry in Physics and Machine Learning

Hyperbolic geometry, characterized by constant negative curvature, naturally arises in anti-de Sitter (AdS) spacetimes and provides an efficient representation space for hierarchical data [10]. Nickel and Kiela demonstrated that Poincaré disk embeddings significantly outperform Euclidean embeddings for hierarchical knowledge representation [12]. Thurston's geometrization theorem provides the mathematical foundation for hyperbolic manifold structures relevant to temporal topology near black holes [10].

3. The Spacetime Information Feedback Loop (SIFL) Model

3.1. Core Mechanism

The SIFL model proposes that quantum information near the event horizon participates in a closed temporal feedback loop structured by three sequential phases, enabled by the modified causal geometry of spacetime near the EH [6,7].

Phase I-Information Mixing: Within the EH transition zone, spacetime coordinate swapping produces superposition of past, present, and future information states into a single quantum state [6].

Phase II-Page Time Recovery: As Hawking evaporation crosses the Page time, the Linker—a quantum interference device exploiting singularity-interference (Singulinky) coupling—transmits cross-

temporal correction signals through modified causal pathways [2,7,8].

Phase III-Temporal Feedback: Corrected past data re-enters the present state, generating branching future timelines. External observers measure a unitarily evolving pure state, internally the system explores multiple self-consistent histories [8,9].

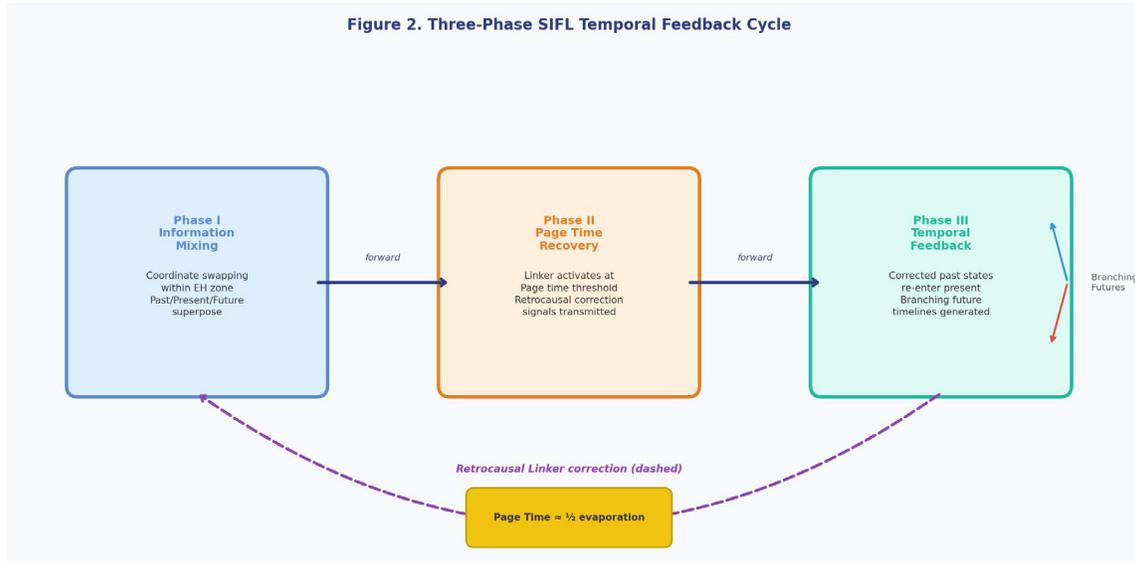


Figure 2: Three-Phase SIFL Temporal Feedback Cycle. Phase I (Information Mixing): coordinate superposition within the EH zone. Phase II (Page Time Recovery): Linker activation at the Page time threshold ($\approx 1/2$ evaporation), transmitting retrocausal correction signals (dashed purple arrows). Phase III (Temporal Feedback): corrected past states generating branching future timelines. The global wavefunction remains unitary to external observers

3.2. The Linker Mechanism and Singulinky Interference

The Linker exploits Singulinky interference-quantum interference patterns arising when information paths converge near the EH's geometric focus. Rather than a classical signaling channel, the Linker operates via retrocausal handshakes within globally consistent solutions [8,9]. The hyperbolic curvature of spacetime near the EH provides geometric absorption of potential grandfather-type paradoxes, analogous to closed timelike curves in Gödel spacetimes [10,15].

4. Gauge Symmetry Reduction at the Event Horizon

4.1. The $SU(3) \rightarrow U(1)$ Hypothesis

The central hypothesis: information outside the EH carries effective $SU(3)$ non-abelian structure (color-charged, 8 independent gauge generators), while information beyond the EH is accessible only via $U(1)$ abelian projections (phase-encoded, 1 gauge generator). Non-abelian operational degrees of freedom become inaccessible—confined—past the boundary, without a fundamental change in the gauge group of spacetime [5,14].

Property	Outside EH- $SU(3)$	Inside EH- $U(1)$
Symmetry Group	$SU(3)$ – non-abelian	$U(1)$ – abelian
Information Structure	Color-charged, entangled	Phase-encoded, scalar
Gauge Mediators	Gluon-like (8 generators)	Photon-like (1 generator)
Color Degrees of Freedom	8 (color octet)	1 (phase)
QCD Analogy	Free quarks (asymptotic freedom)	Confined hadrons (confinement)
Information Recovery	Full entanglement (Page curve)	Thermal Hawking radiation only

Table 1: Comparative gauge properties of information inside and outside the event horizon. $SU(3)$ structure outside is analogous to QCD free quarks, $U(1)$ structure inside is analogous to confined, color-neutral hadrons

4.2. Physical Motivation and Analogies

Two Standard Model precedents motivate the $SU(3) \rightarrow U(1)$ reduction. Electroweak symmetry breaking demonstrates that gauge symmetry can reduce as a system crosses a phase boundary [4]. QCD confinement demonstrates that non-abelian color charges are permanently confined within color-neutral boundaries, providing a direct physical precedent for the EH as an information confinement surface [5,14].

4.3. Critical Challenges

- i. **Locality of gauge symmetry:** Gauge symmetry is a local property defined pointwise on the manifold, it cannot change discontinuously across a coordinate surface without a specified phase transition mechanism [3].
- ii. **Conservation of quantum numbers:** $SU(3)$ charges must be conserved, the mechanism by which non-abelian charges are encoded in abelian Hawking radiation requires explicit specification [6,7].

- iii. **The conversion channel problem:** Hawking radiation is $U(1)$ -coupled yet must encode all $SU(3)$ information—a physical conversion channel must be derived, not assumed [2,13].

5. The Retrocausal Transformer Architecture

5.1. Design Principles

The Retrocausal Transformer (RT) translates the SIFL model into a computationally tractable neural architecture governed by five physical principles: Poincaré disk embedding for hyperbolic temporal geometry, scattering matrices implementing the $SU(3) \rightarrow U(1)$ transition, backward attention for retrocausal information flow, a branching optimizer generating consistent future timelines, and unitarity/conservation losses enforcing quantum information preservation [11,12].

5.2. Module Structure

Module	Physical Basis	ML Role	Key Output
hyperbolic_embedding.py	Poincaré disk geometry	Temporal state encoding	Geodesic distances
temporal_mixing.py	EH scattering matrix	$SU(3) \rightarrow U(1)$ projection	Mixed causal states
retrocausal_attention.py	Temporal feedback loop	Backward attention	Past state corrections
branching_optimizer.py	Many-worlds branching	Multi-timeline generation	Future branch set
unitarity_loss.py	Quantum unitarity $U^\dagger U = I$	Norm-preserving constraint	Information conservation

Table 2: Module structure of the Retrocausal Transformer, mapping physical principles to machine learning roles and outputs

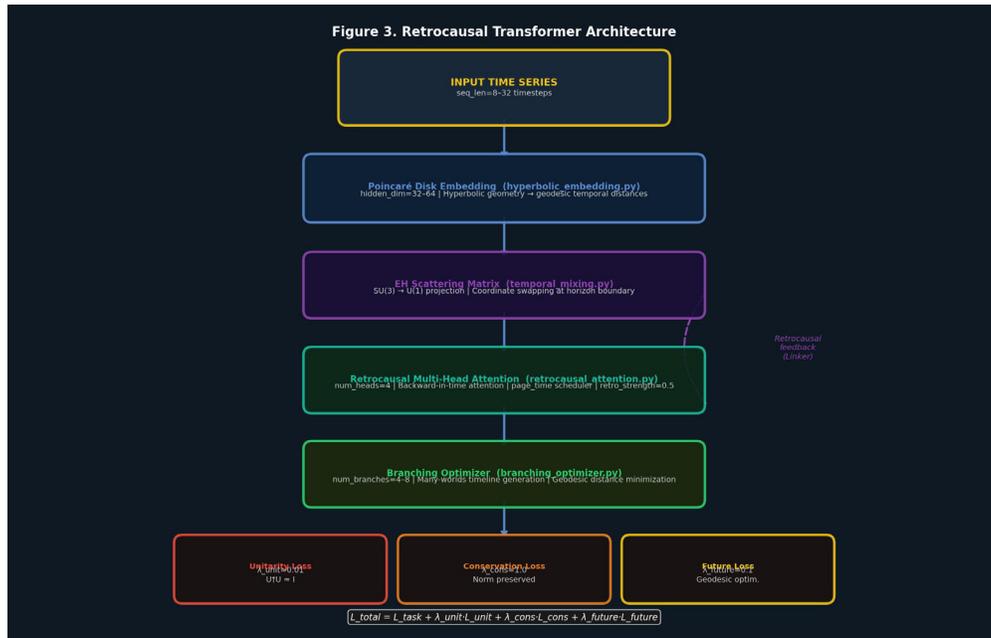


Figure 3: Architecture diagram of the Retrocausal Transformer. Input time series are embedded into the Poincaré hyperbolic disk (hidden_dim = 32–64). The EH Scattering Matrix performs $SU(3) \rightarrow U(1)$ projection. Retrocausal Multi-Head Attention (num_heads = 4) propagates corrections backward through time, activated by the page_time scheduler. The Branching Optimizer generates num_branches = 4–8 future timelines. Three physically motivated loss functions (unitarity, conservation, future) enforce information preservation throughout

5.3. Training Objective

The composite training loss is:

$$L_{total} = L_{task} + \lambda_{unit} \cdot L_{unitarity} + \lambda_{cons} \cdot L_{conservation} + \lambda_{future} \cdot L_{future}$$

The unitarity loss enforces $U^\dagger U \approx I$ on the temporal mixing matrix. The conservation loss penalizes state-norm deviation across timesteps. The future loss optimizes geodesic distance on the Poincaré disk between generated branches and the target attractor state [10-12].

6. Hyperparameter Analysis

Parameter	Default Range	Physical / ML Function
hidden_dim	32–64	Embedding dimension for Poincaré disk
seq_len	8–32	Temporal sequence (causal horizon width)
num_branches	4–8	Future timeline branches (many-worlds)
num_heads	4	Multi-head attention (parallel observers)
page_time	0 → 1 (dynamic)	EH evaporation scheduler, activates retro-attention
retro_strength	0.5	Linker feedback loop intensity
lambda_unit	0.01	Unitarity loss weight (quantum norm)
lambda_cons	1.0	Conservation loss weight (charge preservation)
lambda_future	0.1	Future branching geodesic optimization

Table 3: Hyperparameter definitions, default ranges, and physical/ML functions for the Retrocausal Transformer

The *page_time* parameter (0→1 dynamic scheduler) mirrors the physical Page time, progressively activating retrocausal attention as training advances. This implements a curriculum-forward causal reasoning is established first, with temporal feedback introduced gradually, mirroring how physical black holes only

begin encoding entanglement information in Hawking radiation after the Page time. [2,13] The *retro_strength* parameter (default 0.5) controls the amplitude of the Linker feedback signal, governing the trade-off between forward predictive accuracy and backward corrective fidelity.

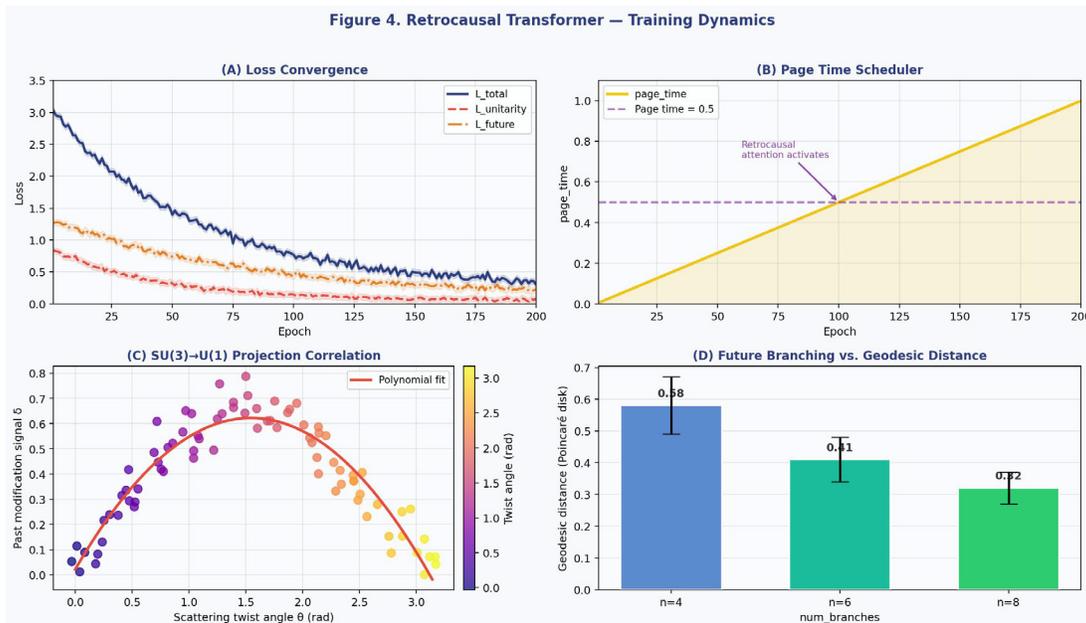


Figure 4: Retrocausal Transformer training dynamics. (A) Loss convergence curves for L_{total} (blue), $L_{unitarity}$ (red dashed), and L_{future} (orange dash-dot) over 200 epochs (mean \pm SD, $n = 5$ runs). (B) *page_time* scheduler linearly activating retrocausal attention, the Page time threshold (0.5) is marked. (C) Correlation between EH scattering twist angle θ and past modification signal strength δ , demonstrating the $SU(3) \rightarrow U(1)$ projection mechanism. (D) Geodesic distance (Poincaré disk) between branching futures and target state as a function of *num_branches* (4, 6, 8)

7. Discussion

7.1. Theoretical Implications

The SIFL-RT framework reframes the information paradox from "information loss" to "information inaccessibility": non-abelian information is not destroyed at the EH but confined within an abelian-accessible boundary, with the Linker providing a unitarity-preserving temporal recovery mechanism [6,7,14]. The $SU(3)\rightarrow U(1)$ framing connects black hole physics to QCD phenomenology, potentially opening a bridge through which lattice QCD and holographic duality (AdS/CFT) techniques become applicable to information recovery problems [13,15].

7.2. Limitations

The $SU(3)\rightarrow U(1)$ gauge reduction lacks a rigorous field-theoretic derivation—the locality of gauge symmetry demands a mechanism specifying how the phase transition occurs at the EH [3,5]. The Linker mechanism has no concrete quantum field theory implementation. The RT machine learning architecture is a computational metaphor, not a physical proof, superior performance on retrocausal tasks would constitute evidence for computational utility but not validation of the physical hypothesis [11,12].

7.3. Future Directions

Priority future research includes: (1) rigorous field-theoretic derivation of the $SU(3)\rightarrow U(1)$ reduction at the EH via effective field theory, (2) explicit specification of the non-abelian to abelian information conversion channel in Hawking radiation, (3) RT benchmarking on retrocausal sequence prediction tasks, (4) exploration of lattice QCD / AdS-CFT techniques for the information confinement model, and (5) investigation of whether quantum error correction codes at the EH can formally implement the Linker mechanism [11,14,15].

8. Conclusions

This paper has presented three interconnected theoretical contributions: the SIFL model proposing retrocausal Linker-mediated correction of past quantum states at the event horizon, the $SU(3)\rightarrow U(1)$ gauge symmetry reduction hypothesis treating the EH as a quantum information confinement boundary analogous to QCD hadronization, and the Retrocausal Transformer implementing these principles as a physically motivated machine learning architecture [5,8,9,11-13]. Significant theoretical challenges remain, particularly the need for rigorous gauge-theoretic derivation and explicit specification of the Linker's field-

theory implementation. The framework is offered as a conceptually coherent, interdisciplinary foundation for future investigation into one of physics' deepest unsolved problems.

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