

## Analysis and Control of Brain Dynamic Models

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### Abstract

The nonlinear behavior of the brain's information processing represents one of the key tasks in modern neuroscience, and a lot of research has been conducted in trying to rhythmicity in brain networks. Bifurcation analysis is a powerful mathematical tool used to deal with the nonlinear dynamics of any process. Several factors must be considered, and multiple objectives must be met simultaneously. Bifurcation analysis and multi objective nonlinear model predictive control (MNLMP) calculations are performed on two brain dynamic models. The MATLAB program MATCONT was used to perform the bifurcation analysis. The MNLMP calculations were performed using the optimization language PYOMO in conjunction with the state-of-the-art global optimization solvers IPOPT and BARON. The bifurcation analysis Hopf bifurcation points that lead to limit cycles in the two models. These Hopf points were eliminated using an activation factor that involves the tanh function. The multi objective nonlinear model predictive control calculations converge to the Utopia point in both the problems, which is the best solution.

**Keywords:** Bifurcation, Optimization, Control, Neuroscience, Brain. Hopf

### 1. Background

Yamaguchi showed that lecticans are organizers of the brain's extracellular matrix [1]. Manor et al. showed that synaptic depression mediates biostability in neuronal networks with recurrent inhibitory connectivity [2]. Oohashi et al demonstrated that Bral1, a brain-specific link protein, colocalizes with the versican v2 isoform at the nodes of Ranvier in developing and adult mouse central nervous systems [3]. Bekku et al performed the molecular cloning of bral2, a novel brain-specific link protein, and demonstrated the immunohistochemical colocalization with brevican in perineuronal nets [4]. Dityatev et al showed the synaptic plasticity of extracellular matrix molecules [5]. Carulli et al. determined the composition of perineuronal nets in the adult rat cerebellum and the cellular origin of their components [6]. Rich and Wenner researched sensing and expressing homeostatic synaptic plasticity [7]. Dityatev et al. investigated the activity-dependent formation and functions of chondroitin sulfate-rich extracellular matrix of perineuronal nets [8]. Turrigiano showed that homeostatic signaling was the positive side of negative feedback [9]. Xie et al demonstrated the existence of Hopf bifurcations in the Hodgkin-Huxley model [10]. Cingolani et al. investigated the activity-dependent regulation of synaptic AMPA receptor composition and abundance by beta 3 integrins [11].

Durstewitz discussed the implications of synaptic biophysics for recurrent network dynamics and active memory [12]. Dityatev remodeled the extracellular matrix and epileptogenesis [13]. Kochlamazashvili et al. showed that the extracellular matrix molecule hyaluronic acid regulates hippocampal synaptic plasticity by modulating postsynaptic l-type ca (2+) channels [14]. Dityatev et al. demonstrated that the extracellular matrix played a dual role in synaptic plasticity and homeostasis [15]. Dityatev and Rusakov demonstrated the existence of molecular signals of plasticity at the tetrapartite synapse [16]. Wlodarczyk et al. showed the role played by extracellular matrix molecules, their receptors, and secreted proteases in synaptic plasticity [17]. Kazantsev et al. developed a homeostatic model of neuronal firing governed by feedback signals from the extracellular matrix [18].

Soleman et al. investigated the targeting of the neural extracellular matrix in neurological disorders [19]. Dembitskaya et al studied the effects of enzymatic removal of chondroitin sulfates on neural excitability and synaptic plasticity in the hippocampal CA1 region [20]. Favuzzi et al. investigated the activity-dependent gating of parvalbumin interneuron function by the perineuronal net protein brevican [21]. Jercog et al demonstrated that the cortical dynamics reflect state transitions in a bistable network [22]. Schmidt et al

showed that the network mechanisms cause oscillations in cognitive tasks [23]. Azeez et al. demonstrated the diurnal fluctuation of extracellular matrix organization in the lateral hypothalamus in basal conditions and in neuro inflammation [24].

Song and Dityatev investigated the interaction between glia, extracellular matrix and neurons [25]. Lazarevich et al demonstrated the existence of activity-dependent switches between dynamic regimes of extracellular matrix expression [26]. Rozhnova et al showed the impact of the brain extracellular matrix on neuronal firing reliability and spike-timing jitter [27]. Rozhnova demonstrated the chaotic change of extracellular matrix molecules concentration in the presence of periodically varying neuronal firing rate [28]. Rozhnova et al. performed bifurcation analysis calculations on a model of brain extracellular matrix [29]. This work aims to perform bifurcation and multiobjective nonlinear model predictive control (MNLMP) on two brain dynamics models, which are Brain extracellular matrix model of Rozhnova et al. and the Hodgkin-Huxley model of Xie et al [10,29]. This document is organized as follows. The model equations for both the models are first described. This is followed by a description of the numerical methods (bifurcation analysis and MNLMP) . The results and discussion are then presented, followed by the conclusions.

## 2. Brain Dynamics Models

### 2.1 Model 1: Brain Extracellular Matrix Model [29].

The equations in this model are

$$\begin{aligned} \frac{dzval}{dt} &= -(\alpha_z + \gamma_p)zval + \beta_z F_z \\ \frac{dpval}{dt} &= -\alpha_p pval + \beta_p F_p \\ F_z &= z_0 - \frac{z_0 - z_1}{1 + \exp\left(\frac{-Q - \theta_z}{k_z}\right)} \\ F_p &= p_0 - \frac{p_0 - p_1}{1 + \exp\left(\frac{-Q - \theta_p}{k_p}\right)} \\ Q &= Q_0 + \alpha_Q zval \end{aligned} \quad (1)$$

The parameter values are

$$\begin{aligned} Q_0 &= 5; \alpha_Q = 0.23; \alpha_z = 0.001; \alpha_p = 0.001; \gamma_p = 0.001; \beta_z = 0.01; \\ z_0 &= 0; z_1 = 1; p_0 = 0; p_1 = 1; k_z = 0.15; k_p = 0.05; \beta_p = 0.01 \end{aligned}$$

$zval$  and  $pval$  represent the concentration of the ECM molecules and the concentration of proteases.  $\theta_z, \theta_p$  are the activation mid-points and are the bifurcation and control parameters.

### 2.2 Model 2: Hodgkin-Huxley model [10]

The equations in this model are

$$\begin{aligned} \frac{d(vval)}{dt} &= \frac{1}{C_M} (I_{EXT} - g_{Na} (mval)^3 hval (vval - vna) - g_K (nval)^4 (vval - v_K) - g_L (vval - v_L)) \\ \frac{d(mval)}{dt} &= \alpha_m (1 - mval) - \beta_m (mval) \\ \frac{d(hval)}{dt} &= \alpha_h (1 - hval) - \beta_h (hval) \\ \frac{d(nval)}{dt} &= \alpha_n (1 - hval) - \beta_n (hval) \end{aligned} \quad (2)$$

Where  $\alpha_m, \beta_m, \alpha_h, \beta_h, \alpha_n, \beta_n$  are defined as

$$\begin{aligned}
\alpha_m &= 0.1 \frac{(25 - vval)}{\{\exp(\frac{25 - vval}{10}) - 1\}} \\
\beta_m &= 4 \exp(-\frac{vval}{18}) \\
\alpha_h &= 0.07 \exp(-\frac{vval}{20}) \\
\beta_h &= \frac{1}{\{\exp(\frac{30 - vval}{10}) + 1\}} \\
\alpha_n &= \frac{0.01(10 - vval)}{\{\exp(\frac{10 - vval}{10}) - 1\}} \\
\beta_n &= 0.125 \exp(-\frac{vval}{80})
\end{aligned} \tag{3}$$

The parameters are  $V_{Na} = 115.0$ ,  $V_K = -12.0$ ,  $V_L = 10.599$ ,  $g_{Na} = 120.0$ ,  $g_K = 36$ ,  $g_L = 0.3$ ,  $C_M = 1$   $vval$  is the electrical potential difference voltage across the nerve membrane (membrane potential).  $mval$  and  $hval$  represent the gating variables for the activation and inactivation of the sodium ion channel, respectively.  $vval$  is the activation gating variable of the potassium ion channel.  $I_{EXT}$  is the external current and the bifurcation and control parameter.

### 3. Numerical Procedures

#### 3.1 Bifurcation Analysis

The MATLAB software MATCONT is used to perform the bifurcation calculations. Bifurcation analysis deals with multiple steady-states and limit cycles. Multiple steady states occur because of the existence of branch and limit points. Hopf bifurcation points cause limit cycles. A commonly used MATLAB program that locates limit points, branch points, and Hopf bifurcation points is MATCONT [30,31]. This program detects Limit points (LP), branch points (BP), and Hopf bifurcation points(H) for an ODE system

$$\frac{dx}{dt} = f(x, \alpha) \tag{4}$$

$x \in R^n$  Let the bifurcation parameter be  $\alpha$  Since the gradient is orthogonal to the tangent vector,

The tangent plane at any point  $W = [w_1, w_2, w_3, w_4, \dots, w_{n+1}]$  must satisfy

$$Aw = 0 \tag{5}$$

Where A is

$$A = [\partial f / \partial x \quad | \quad \partial f / \partial \alpha] \tag{6}$$

where  $\partial f / \partial x$  is the Jacobian matrix. For both limit and branch points, the matrix  $[\partial f / \partial x]$  must be singular. The  $n+1$ <sup>th</sup> component of the tangent vector  $w_{n+1} = 0$  for a limit point (LP) and for a branch point (BP) the matrix  $\begin{bmatrix} A \\ w^T \end{bmatrix}$  must be singular. At a Hopf bifurcation point,

$$\det(2f_x(x, \alpha) @ I_n) = 0 \tag{7}$$

@ indicates the bialternate product while  $I_n$  is the  $n$ -square identity matrix. Hopf bifurcations cause limit cycles and should be eliminated because limit cycles make optimization and control tasks very difficult. More details can be found in Kuznetsov and Govaerts [32-34].

Hopf bifurcations cause unwanted oscillatory behavior and limit cycles. The tanh activation function (where a control value  $u$  is

replaced by)  $(u \tanh u / \varepsilon)$  is commonly used in neural nets and optimal control problems to eliminate spikes in the optimal control profile [35-38]. Hopf bifurcation points cause oscillatory behavior. Oscillations are similar to spikes, and the results in Sridhar demonstrate that the tanh factor also eliminates the Hopf bifurcation by preventing the occurrence of oscillations [39]. Sridhar explained with several examples how the activation factor involving the tanh function successfully eliminates the limit cycle causing Hopf bifurcation points [39]. This was because the tanh function increases the time period of the oscillatory behavior, which occurs in the form of a limit cycle caused by Hopf bifurcations.

### 3.2 Multiobjective Nonlinear Model Predictive Control (MNL MPC)

Flores Tlacuahuaz et al. developed a multiobjective nonlinear model predictive control (MNL MPC) method that is rigorous and does not involve weighting functions or additional constraints [40]. This procedure is used for performing the MNL MPC calculations Here  $\sum_{t_i=0}^{t_i=t_f} q_j(t_i)$  ( $j=1..n$ ) represents the variables that need to be minimized/maximized simultaneously for a problem involving a set of ODE

$$\frac{dx}{dt} = F(x, u) \tag{8}$$

$t_f$  being the final time value, and  $n$  the total number of objective variables and  $u$  the control parameter. This MNL MPC procedure first solves the single objective optimal control problem independently optimizing each of the variables  $\sum_{t_i=0}^{t_i=t_f} q_j(t_i)$  individually. The minimization/maximization of  $\sum_{t_i=0}^{t_i=t_f} q_j(t_i)$  will lead to the values  $q_j^*$ . Then the optimization problem that will be solved is

$$\begin{aligned} \min & \left( \sum_{j=1}^n \left( \sum_{t_i=0}^{t_i=t_f} q_j(t_i) - q_j^* \right) \right)^2 \\ \text{subject to} & \quad \frac{dx}{dt} = F(x, u); \end{aligned} \tag{9}$$

This will provide the values of  $u$  at various times. The first obtained control value of  $u$  is implemented and the rest are discarded. This procedure is repeated until the implemented and the first obtained control values are the same or if the Utopia point where  $\left( \sum_{t_i=0}^{t_i=t_f} q_j(t_i) = q_j^* \right)$  for all  $j$  is obtained. Pyomo is used for these calculations [41]. Here, the differential equations are converted to a Nonlinear Program (NLP) using the orthogonal collocation method The NLP is solved using IPOPT and confirmed as a global solution with BARON [42,43].

The steps of the algorithm are as follows

1. Optimize  $\sum_{t_i=0}^{t_i=t_f} q_j(t_i)$  and obtain  $q_j^*$  at various time intervals  $t_i$ . The subscript  $i$  is the index for each time step.
2. Minimize  $\left( \sum_{j=1}^n \left( \sum_{t_i=0}^{t_i=t_f} q_j(t_i) - q_j^* \right) \right)^2$  and get the control values for various times.
3. Implement the first obtained control values
4. Repeat steps 1 to 3 until there is an insignificant difference between the implemented and the first obtained value of the control variables or if the Utopia point is achieved. The Utopia point is when  $\sum_{t_i=0}^{t_i=t_f} q_j(t_i) = q_j^*$  for all  $j$ .

### 4. Results and Discussion

For the bifurcation analysis in model 1, both  $\theta_p$  and  $\theta_z$  were individually used as bifurcation parameters. When  $\theta_p$  was used as a bifurcation parameter, two Hopf bifurcation points were found at  $(zval, pval, \theta_p)$  values of (2.128943 0.426793 5.645178) and (4.230053 1.696697 6.052311). These Hopf bifurcation points are shown in Figure 1a. Each of these Hopf bifurcation points result

in a limit cycle which are shown in figures 1b and 1c. When  $\theta_p$  was modified to  $\frac{\theta_p \tanh(\theta_p)}{1.115}$  the hopf bifurcations disappear (Figure. 1d).

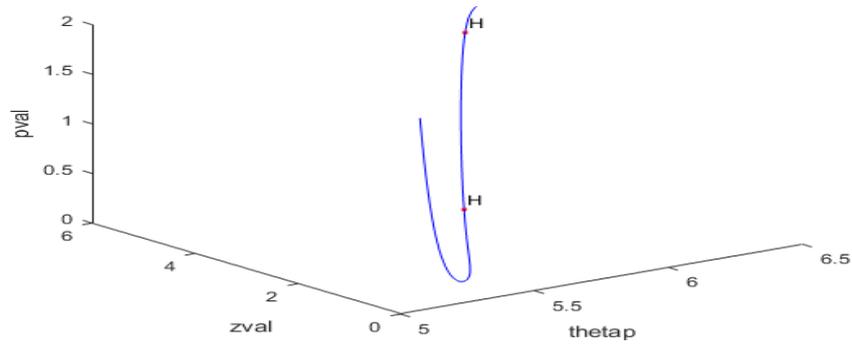


Figure 1a

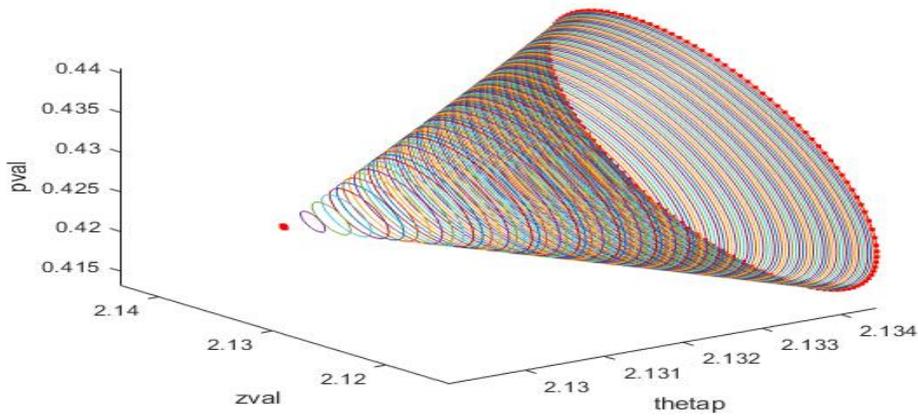


Figure. 1b

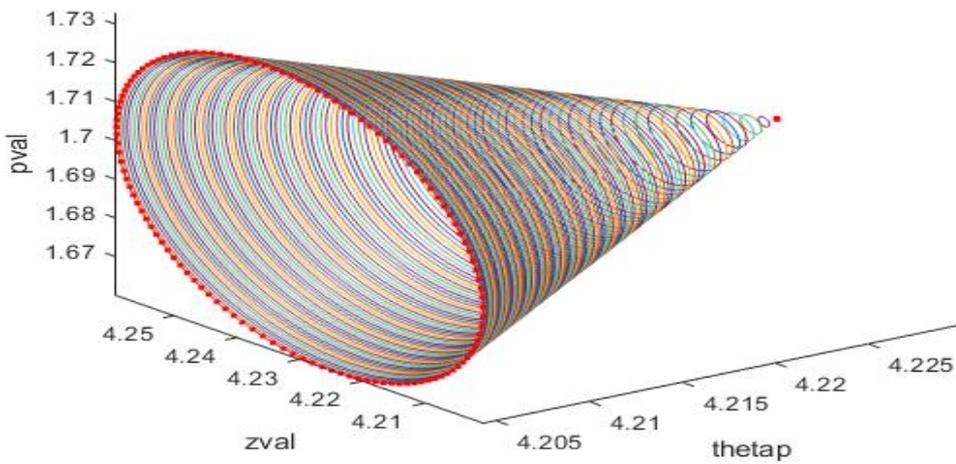


Figure. 1c

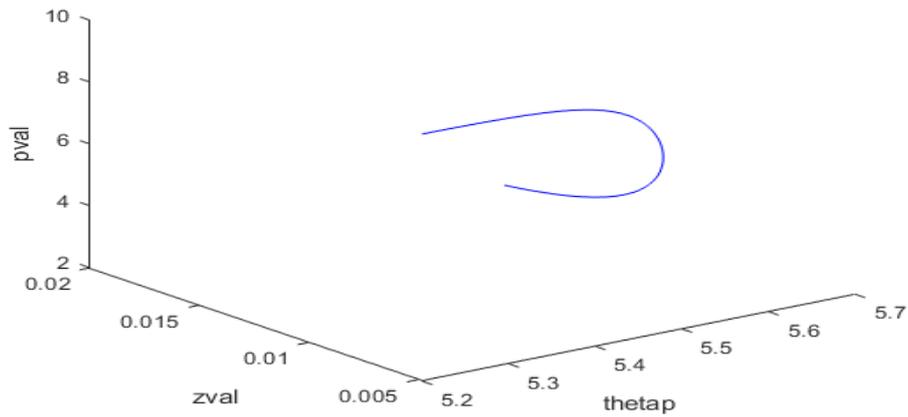


Figure. 1d

When  $\theta_z$  was used as a bifurcation parameter, two Hopf bifurcation points were found at  $(zval, pval, \theta_z)$  values of  $(2.696435, 0.266904, 5.951550)$  and  $(3.190589, 2.102711, 5.604739)$ . These Hopf bifurcation points are shown in Figure 1e. Each of these Hopf bifurcation points result in a limit cycle which are shown in figures 1f and 1g. When  $\theta_z$  was modified to  $\frac{\theta_z \tanh(\theta_z)}{1.115}$  the hopf bifurcations disappear (Figure 1h).

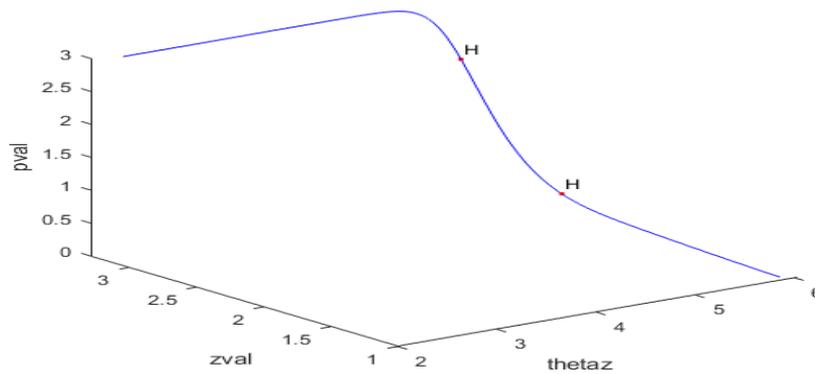


Figure. 1e

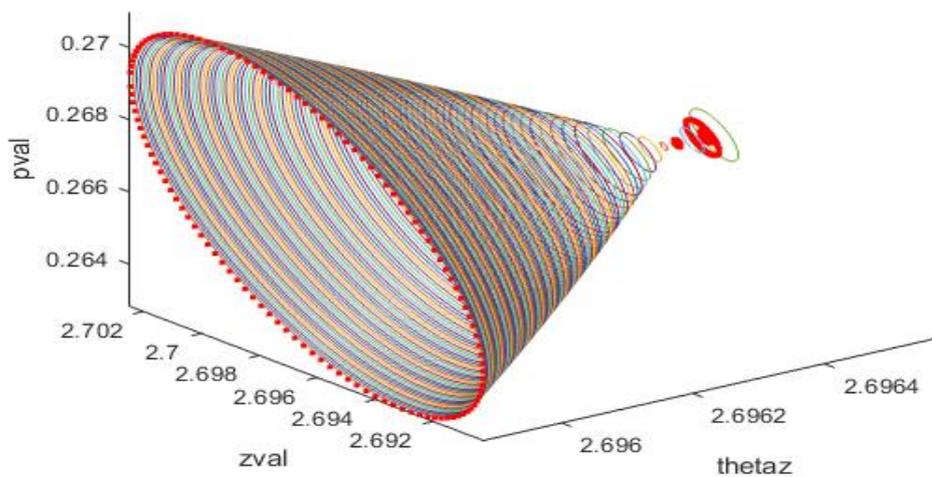


Figure. 1f

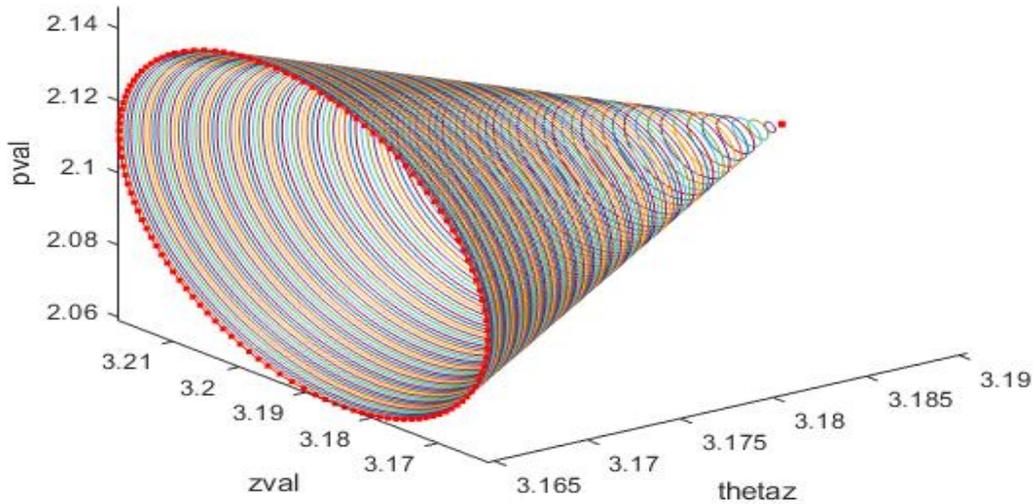


Figure. 1g

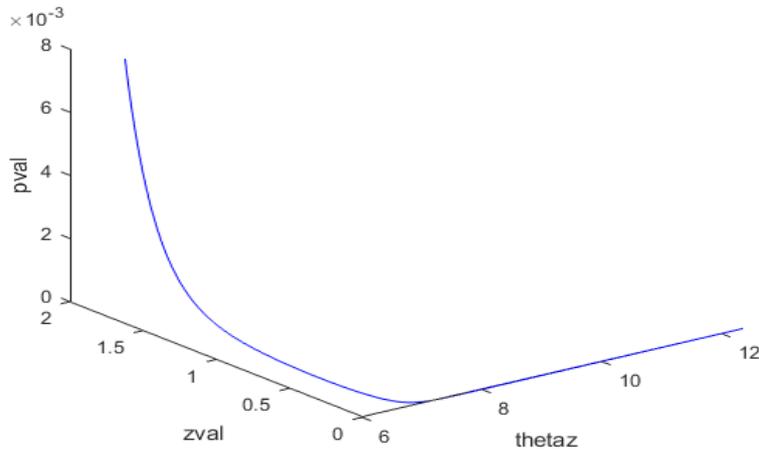


Figure. 1h

The MNLMPCC calculations were performed using  $\frac{\theta_p \tanh(\theta_p)}{1.115}$  and  $\frac{\theta_z \tanh(\theta_z)}{1.115}$  as the control parameters.  $\sum_{t_i=0}^{t_i=t_f} zval_j(t_i)$  (ECM concentration) was maximized and resulted in a value of 20.  $\sum_{t_i=0}^{t_i=t_f} pval_j(t_i)$  (proteas concentration) was minimized and resulted in a value of 0. The multiobjective optimal control calculation involved a minimization of  $(\sum_{t_i=0}^{t_i=t_f} zval_j(t_i) - 20)^2 + (\sum_{t_i=0}^{t_i=t_f} pval_j(t_i) - 0)^2$ . This minimization resulted in the Utopia point (0). The first of the control variables is implemented, and the rest are discarded. The process is repeated until the difference between the first and second values of the control variables are the same. The MNLMPCC control values of both  $\theta_p$  and  $\theta_z$  were 5 and 5. The zval and pval profiles are shown in Figures 1i and 1j.

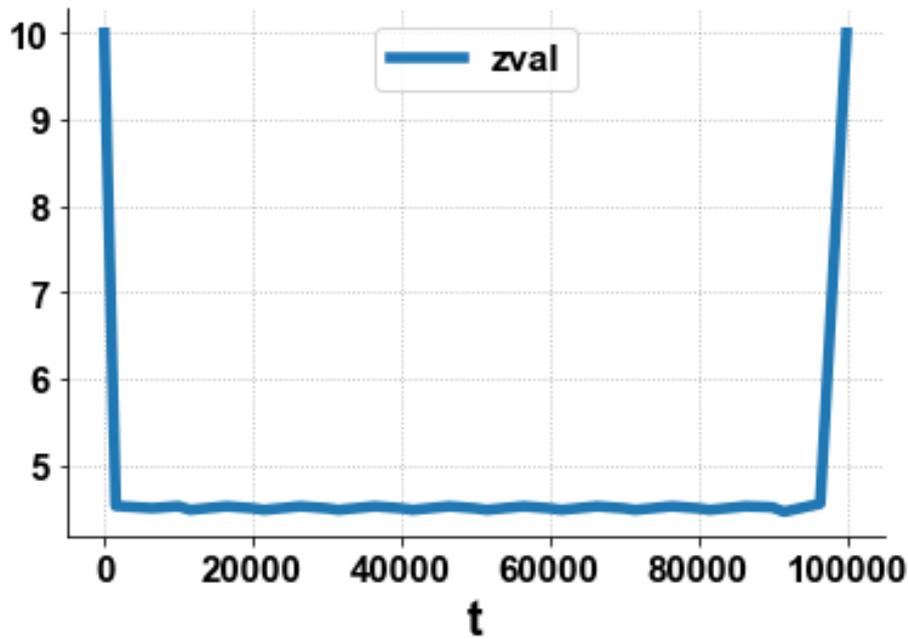


Figure 1i

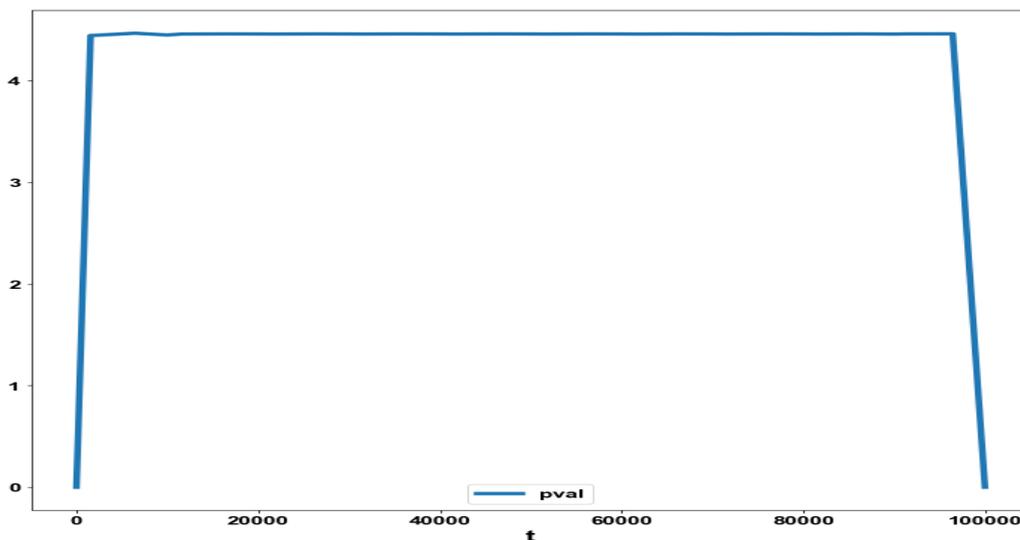


Figure. 1j

In model 2,  $I_{EXT}$  is the bifurcation parameter and a Hopf bifurcation point was found at (vval, mval, hval, nval, 2,  $I_{EXT}$ ) values of (5.345857 0.097257 0.406228 0.401784 9.779639). This is shown in Figure. 2a. The limit cycle produced by this Hopf bifurcation is shown in Figure. 2b. When  $I_{EXT}$  is modified to  $\frac{I_{EXT} \tanh(I_{EXT})}{1.5}$  the Hopf Bifurcation point disappears. For the MNLMPC cal

culations,  $\sum_{t_i=0}^{t_i=t_f} vval_j(t_i)$ ,  $\sum_{t_i=0}^{t_i=t_f} mval_j(t_i)$ ,  $\sum_{t_i=0}^{t_i=t_f} nval_j(t_i)$  weremaximizedandresulted in values of 20,20 and 17.3647.  $\sum_{t_i=0}^{t_i=t_f} hval_j(t_i)$ , was minimized and resulted in a value of 0. The multi objective optimal control calculation involved a minimization of  $(\sum_{t_i=0}^{t_i=t_f} vval_j(t_i) - 20)^2 + (\sum_{t_i=0}^{t_i=t_f} mval_j(t_i) - 20)^2 + (\sum_{t_i=0}^{t_i=t_f} nval_j(t_i) - 17.3647)^2 + (\sum_{t_i=0}^{t_i=t_f} hval_j(t_i) - 0)^2$

$\frac{I_{EXT} \tanh(I_{EXT})}{1.5}$  was used as the control parameter.

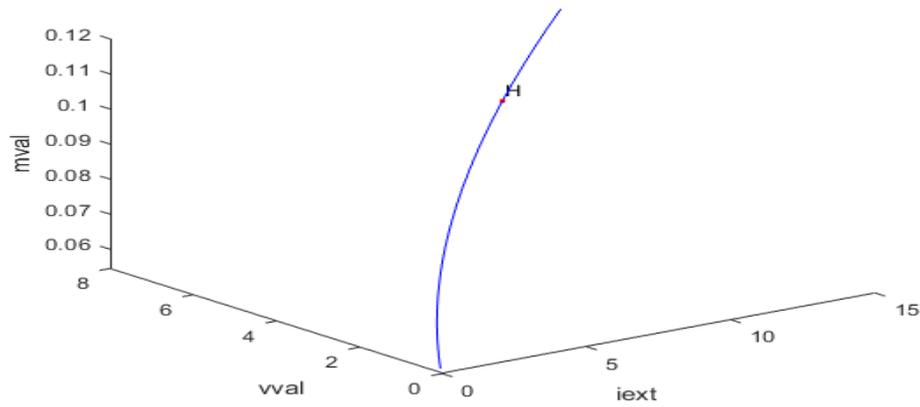


Figure. 2a

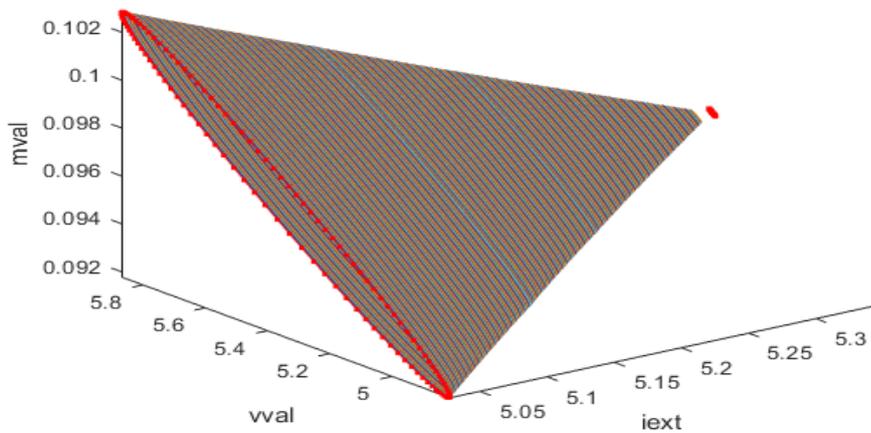


Figure. 2b

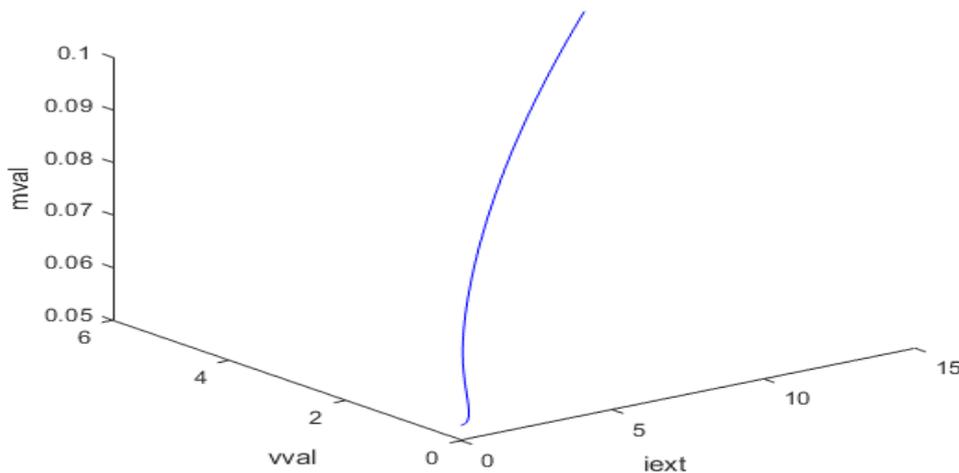


Figure. 2c

This minimization resulted in the Utopia point (0). The first of the control variables is implemented, and the rest are discarded. The process is repeated until the difference between the first and second values of the control variables are the same. The MNLMPCC control value of  $I_{EXT}$  is 1. The  $v_{val}$ ,  $h_{val}$  and  $n_{val}$  profiles for the MNLMPCC calculations are shown in figures 2d and 2e. The  $m_{val}$  value was 1 throughout. Both brain models show the presence of limit cycles causing Hopf bifurcations, which can be eliminated using the activation factor involving the tanh function, confirming the analysis of Sridhar. In both cases, the MNLMPCC calculations converge to the Utopia solution.

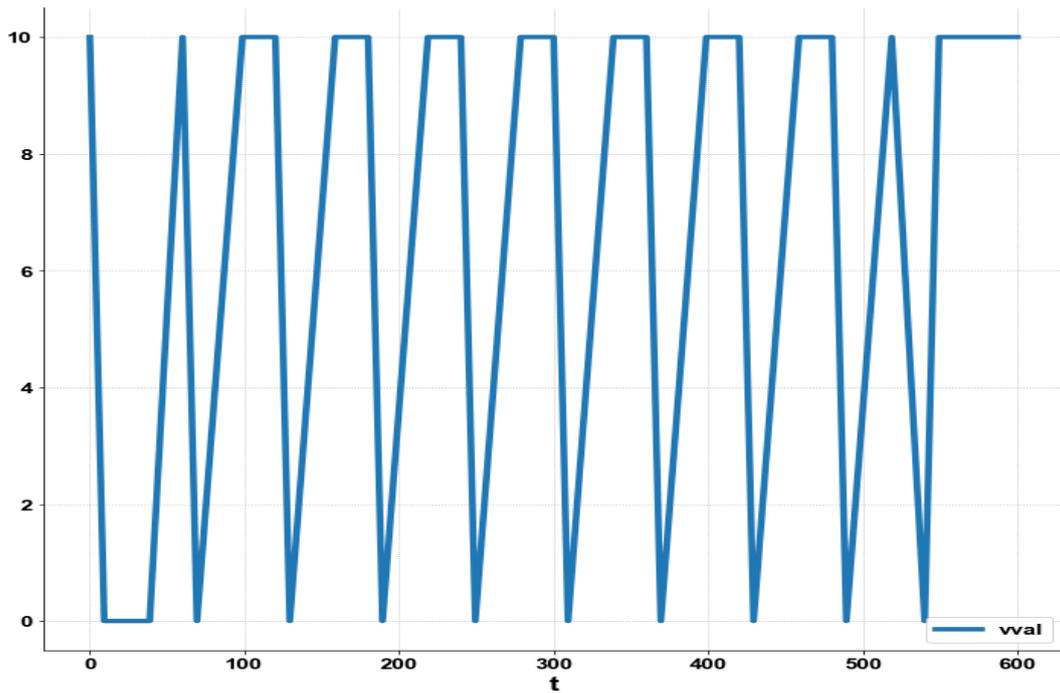


Figure. 2d

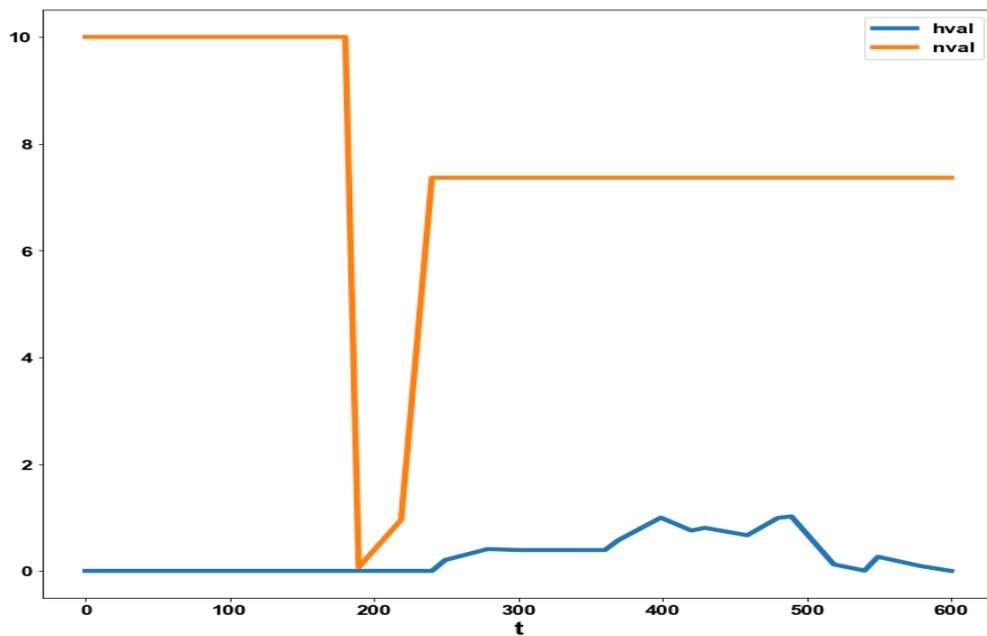


Figure. 2e

## 5. Conclusions

Multi objective nonlinear model predictive control calculations were performed along with bifurcation analysis on two models involving brain dynamics. The bifurcation analysis revealed the existence of limit cycle causing Hopf bifurcation points, which are eliminated using an activation factor involving the tanh function. The multiobjective nonlinear model predictive calculations converge to the Utopia point (the best possible solution) in both models.

**Data Availability Statement:** All data used is presented in the paper

**Conflict of interest:** The author, Dr. Lakshmi N Sridhar has no conflict of interest.

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